

Rev. 10/01

PATENTS

Modified PTO 1083
For Other Than A Small Entity

Attorney Docket No. CP-21CON

Applicant : Philip M. Ginsberg

Application No.: 09/225,537

Confirmation No.: 2363

Filed : January 4, 1999
(CPA filed July 31, 2000)
(RCE filed April 10, 2002)

For : FIXED INCOME PORTFOLIO INDEX PROCESSOR

Group Art Unit : 2164

Examiner : Geoffrey Akers

Hon. Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;
☐ a Response to Examiner's Action; ☒ a Supplemental Amendment;
☐ a substitute Specification; ☐ a Declaration; ☐ a Supplemental Declaration;
☐ a Power of Attorney; ☐ an Associate Power of Attorney; ☐ formal drawings; to
be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS☐ A fee for additional claims is not required.☒ A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL
TOTAL CLAIMS	48	- 113*	= x	\$18 =	\$ 00.00
INDEPENDENT CLAIMS	17	- 36**	= x	\$84 =	\$ 00.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$280 =	\$	00.00
			TOTAL		\$ 00.00

* If less than 20, insert 20.

** If less than 3, insert 3.

Reconsideration and prompt allowance of this application
are respectfully requested.

Respectfully submitted,



Joel Weiss
Reg. No. 44,398
Attorney for Applicant
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000

IN RE APPLICATION NUMBER: 09/225,537

TRANSMITTAL COVER LETTER FOR FACSIMILE TRANSMISSION

PLEASE DELIVER THE FOLLOWING PAGES TO:

Commissioner for Patents
Washington, D.C. 20231
Attention: Examiner Geoffrey Akers
Group 2164

FACSIMILE NUMBER: (703) 308-3687

THE SENDER IS: Joel WeissRegistration Number 44,398

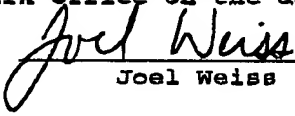
FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000
Fax.: (212) 596-9090

CLIENT/MATTER NO. 00718.021 (CF-21CON)CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to
the U.S. Patent and Trademark Office on the date shown below.

August 28, 2002

Date


Joel WeissTOTAL NUMBER OF PAGES, INCLUDING COVER LETTER: 5DATE: _____ FACSIMILE OPERATOR: _____

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE ADDRESSEE AND MAY CONTAIN
INFORMATION THAT IS PRIVILEGED AND CONFIDENTIAL. IF YOU ARE NOT THE INTENDED
RECIPIENT, YOU ARE HEREBY NOTIFIED THAT THE UNAUTHORIZED DISSEMINATION OF THE
COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN
ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE.

PLEASE ACKNOWLEDGE SAFE RECEIPT OF THIS TRANSMISSION BY SIGNING AND
RETURNING THIS COVER SHEET TO US BY FACSIMILE.

WE ACKNOWLEDGE SAFE RECEIPT OF THIS TRANSMISSION

SIGNED: _____ DATE: _____

IF NOT, PLEASE CALL BACK AS SOON AS POSSIBLE
PHONE (212) 596-9300 (ASK FOR "FAX OPERATOR")